

07-27-01

A/Rc

Please type a plus sign (+) inside this box → ☐

PTO/SB/50 (02-01)
Approved for use through 01/31/2004. OMB 0651-0033
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

REISSUE PATENT APPLICATION TRANSMITTAL

Address to:

Assistant Commissioner for Patents
Box Reissue
Washington, DC 20231

Attorney Docket No.

NAK1-AZ69r

First Named Inventor

Yamanaka et al.

Original Patent Number

5,930,808

Original Patent Issue Date
(Month/Day/Year)

07/27/99

Express Mail Label No.

EL852656598US

APPLICATION FOR REISSUE OF:

(Check applicable box)



Utility Patent



Design Patent



Plant Patent

APPLICATION ELEMENTS (37 CFR 1.173)

1. ☒ Fee Transmittal Form (PTO/SB/56)
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status. See 37 CFR 1.27.
3. ☒ Specification and Claims in double column copy of patent format (amended, if appropriate)
4. ☒ Drawing(s) (proposed amendments, if appropriate)
5. ☒ Reissue Oath/Declaration (original or copy)
(37 C.F.R. § 1.175) (PTO/SB/51 or 52)
6. ☒ Power of Attorney
7. Original U.S. Patent currently assigned? ☒ Yes ☐ No
(If Yes, check applicable box(es))
 - ☒ Written Consent of all Assignees (PTO/SB/53)
 - ☒ 37 C.F.R. § 3.73(b) Statement (PTO/SB/96)
8. ☐ CD-ROM or CD-R in duplicate, Computer Program (Appendix) or large table
9. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all of the following are necessary)
 - a. ☐ Computer Readable Form (CFR)
 - b. Specification Sequence Listing on:
 - i ☐ CD-ROM (2 copies) or CD-R (2 copies); or
 - ii ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

10. ☐ Statement of status and support for all changes to the claims. See 37 CFR 1.173 (c).
11. ☐ Original U.S. Patent for surrender
 - ☐ Ribbonded Original Patent Grant
 - ☐ Statement of Loss (PTO/SB/55)
12. ☒ Foreign Priority Claim (35 U.S.C. 119)
(if applicable)
13. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
14. ☐ English Translation of Reissue Oath/Declaration
(if applicable)
15. ☒ Preliminary Amendment
16. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
17. Other: copy of US Patent
5,930,808

18. CORRESPONDENCE ADDRESS



Customer Number or Bar Code Label

or ☒

Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name	Joseph W. Price		
Address	PRICE and GESS		
	2100 S.E. Main Street, Suite 250	Zip Code	92614
City	Irvine	State	CA
Country	USA	Fax	949-261-9072
	Telephone	949-261-8433	

NAME (Print/Type)

Bradley D. Blanche

Registration No. (Attorney/Agent)

38,387


Signature

Date

7/26/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Reissue, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

REISSUE APPLICATION FEE TRANSMITTAL FORM						Docket Number (Optional) NAK1-AZ69r			
Claims as Filed - Part 1									
Claims in Patent		Number Filed in Reissue Application	(3) Number Extra	Small Entity		Other than a Small Entity			
				Rate	Fee	Rate	Fee		
(A) 27	Total Claims (37 CFR 1.16(i))	(B) 34	**** 7 =	x \$	=	or	x \$18 = 126.00		
(C) 3	Independent claims (37 CFR 1.16(i))	(D) 7	* 4 =	x \$	=		x \$80 = 320.00		
Basic Fee (37 CFR 1.16(h))					\$		\$ 710.00		
Total Filing Fee					\$	OR	\$1156.00		
Claims as Amended - Part 2									
	(1) Claims Remaining After Amendment		(2) Highest Number Previously Paid For	(3) Extra Claims Present	Small Entity		Other than a Small Entity		
					Rate	Fee	Rate	Fee	
Total Claims (37 CFR 1.16(i))	***	MINUS	**	*	=	x \$	=		
Independent Claims (37 CFR 1.16(i))	***	MINUS	*****	=	x \$	=			
Total Additional Fee					\$	OR	\$		
<p>* If the entry in (D) is less than the entry in (C), Write "0" in column 3.</p> <p>** If the "Highest Number of Total Claims Previously Paid For" is less than 20, Write "20" in this space.</p> <p>*** After any cancellation of claims.</p> <p>**** If "A" is greater than 20, use (B - A); if "A" is 20 or less, use (B - 20).</p> <p>***** "Highest Number of Independent Claims Previously Paid For" or Number of Independent Claims in Patent (C).</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p><input type="checkbox"/> Please charge Deposit Account No. _____ in the amount of _____. A duplicate copy of this sheet is enclosed.</p> <p><input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees under 37 CFR 1.16 or 1.17 which may be required, or credit any overpayment to Deposit Account No. <u>16-2462</u>. A duplicate copy of this sheet is enclosed.</p> <p><input checked="" type="checkbox"/> A check in the amount of \$ <u>1,156.00</u> to cover the filing / additional fee is enclosed.</p> <p><input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.</p> <p>WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p>									
July 26, 2001 Date				 Signature of Applicant, Attorney or Agent of Record					
				Bradley D. Blanche Typed or printed name Reg. No. 38,387					

STATEMENT UNDER 37 CFR 3.73(b)

Applicant: Kyokazu Yamanaka et al.

Application No.: 08/865,092 Filed: May 29, 1997

Entitled: DATA CONVERSION APPARATUS FOR DATA COMMUNICATION SYSTEM

Matsushita Electric Industrial Co., Ltd., a Japanese corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel 8589, Frame 0616, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

7/26/01
Date


Signature

Bradley D. Blanche, Reg. No. 38,387

Typed or printed name

Attorney of Record

Title

PRICE AND GESS

ATTORNEYS AT LAW

JOSEPH W. PRICE
ALBIN H. GESS
MICHAEL J. MOFFATT
GORDON E. GRAY III
BRADLEY D. BLANCHE
J. RONALD RICHEBOURG

2100 S.E. MAIN STREET, SUITE 250
IRVINE, CALIFORNIA 92614-6238

A PROFESSIONAL CORPORATION
TELEPHONE: (949) 261-8433
FACSIMILE: (949) 261-9072
FACSIMILE: (949) 261-1726

e-mail: jwp@pgpatentlaw.com

OF COUNSEL
JAMES F. KIRK

COPY OF U.S. PATENT NO. 5,930,808



Applicant(s):

Kiyokazu Yamanaka et al.

Title:

DATA CONVERSION APPARATUS FOR DATA
COMMUNICATION SYSTEM

Attorney's
Docket No.:

NAK1-AZ69r

"EXPRESS MAIL" MAILING

LABEL NO. EL 852656598 US

DATE OF DEPOSIT: July 26, 2001

09/916872 U.S. PRO JC978

PRICE AND GESS

ATTORNEYS AT LAW

JOSEPH W. PRICE
ALBIN H. GESS
MICHAEL J. MOFFATT
GORDON E. GRAY III
BRADLEY D. BLANCHE
J. RONALD RICHEBOURG

2100 S.E. MAIN STREET, SUITE 250
IRVINE, CALIFORNIA 92614-6238

A PROFESSIONAL CORPORATION
TELEPHONE: (949) 261-8433
FACSIMILE: (949) 261-9072
FACSIMILE: (949) 261-1726

e-mail: jwp@pgpatentlaw.com

OF COUNSEL
JAMES F. KIRK

STATEMENT UNDER 37 CFR 3.73(b)

Applicant(s): Kiyokazu Yamanaka et al.

Title: DATA CONVERSION APPARATUS FOR DATA
COMMUNICATION SYSTEM

Attorney's
Docket No.: NAK1-AZ69r

"EXPRESS MAIL" MAILING

LABEL NO. EL 852656598 US

DATE OF DEPOSIT: July 26, 2001

TELEPHONE 949-261-8433

PRICE AND GESS

ATTORNEYS AT LAW

JOSEPH W. PRICE
ALBIN H. GESS
MICHAEL J. MOFFATT
GORDON E. GRAY III
BRADLEY D. BLANCHE
J. RONALD RICHEBOURG

2100 S.E. MAIN STREET, SUITE 250
IRVINE, CALIFORNIA 92614-6238

A PROFESSIONAL CORPORATION
TELEPHONE: (949) 261-8433
FACSIMILE: (949) 261-9072
FACSIMILE: (949) 261-1726

OF COUNSEL
JAMES F. KIRK

e-mail: jwp@pgpatentlaw.com

REISSUE APPLICATION CONSENT OF ASSIGNEE **(unexecuted)**

Applicant(s): Kiyokazu Yamanaka et al.

Title: DATA CONVERSION APPARATUS FOR DATA
COMMUNICATION SYSTEM

Attorney's
Docket No.: NAK1-AZ69r

"EXPRESS MAIL" MAILING

LABEL NO. EL 852656598 US

DATE OF DEPOSIT: July 26, 2001

704/261-8433

PRICE AND GESS

ATTORNEYS AT LAW

JOSEPH W. PRICE
ALBIN H. GESS
MICHAEL J. MOFFATT
GORDON E. GRAY III
BRADLEY D. BLANCHE
J. RONALD RICHEBOURG

2100 S.E. MAIN STREET, SUITE 250

IRVINE, CALIFORNIA 92614-6238

A PROFESSIONAL CORPORATION
TELEPHONE: (949) 261-8433
FACSIMILE: (949) 261-9072
FACSIMILE: (949) 261-1726

e-mail: jwp@pgpatentlaw.com

OF COUNSEL
JAMES F. KIRK

REISSUE APPLICATION DECLARATION BY ASSIGNEE

Applicant(s): Kiyokazu Yamanaka et al.

Title: DATA CONVERSION APPARATUS FOR DATA
COMMUNICATION SYSTEM

Attorney's
Docket No.: NAK1-AZ69r

"EXPRESS MAIL" MAILING

LABEL NO. EL 852656598 US

DATE OF DEPOSIT: July 26, 2001

0991672.07601
T09240"2/89T6501

PRICE AND GESS

ATTORNEYS AT LAW

JOSEPH W. PRICE
ALBIN H. GESS
MICHAEL J. MOFFATT
GORDON E. GRAY III
BRADLEY D. BLANCHE
J. RONALD RICHBURG

2100 S.E. MAIN STREET, SUITE 250
IRVINE, CALIFORNIA 92614-6238

A PROFESSIONAL CORPORATION
TELEPHONE: (949) 261-8433
FACSIMILE: (949) 261-9072
FACSIMILE: (949) 261-1726

e-mail: jwp@pgpatentlaw.com

OF COUNSEL
JAMES F. KIRK

DRAWINGS (36 SHEETS)

Applicant(s): Kiyokazu Yamanaka et al.

Title: DATA CONVERSION APPARATUS FOR DATA
COMMUNICATION SYSTEM

Attorney's
Docket No.: NAK1-AZ69r

"EXPRESS MAIL" MAILING

LABEL NO. EL 852656598 US

DATE OF DEPOSIT: July 26, 2001

091697.07601
FOR 2285T660

PRICE AND GESS

ATTORNEYS AT LAW

JOSEPH W. PRICE
ALBIN H. GESS
MICHAEL J. MOFFATT
GORDON E. GRAY III
BRADLEY D. BLANCHE
J. RONALD RICHBURG

2100 S.E. MAIN STREET, SUITE 250
IRVINE, CALIFORNIA 92614-6238

A PROFESSIONAL CORPORATION
TELEPHONE: (949) 261-8433
FACSIMILE: (949) 261-9072
FACSIMILE: (949) 261-1726

OF COUNSEL
JAMES F. KIRK

e-mail: jwp@pgpatentlaw.com

PRELIMINARY AMENDMENT

Applicant(s): Kiyokazu Yamanaka et al.

Title: DATA CONVERSION APPARATUS FOR DATA
COMMUNICATION SYSTEM

Attorney's
Docket No.: NAK1-AZ69r

"EXPRESS MAIL" MAILING

LABEL NO. EL 852656598 US

DATE OF DEPOSIT: July 26, 2001

852656598-EL